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Kristi L. Davidson, Reg. No. 44,643

5/25/04
Date

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 10/797,552
Filed: March 10, 2004
Group Art Unit: Unknown
Examiner: Unknown
Applicant: Carlos A. Aloise, Gary T. Garman
Title: METHOD OF MANUFACTURING AN ENDODONTIC INSTRUMENT
Attorney Docket: EIE-064DV
Confirmation No.: 4409

Cincinnati, Ohio 45202

May 25, 2004

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 C.F.R.

§1.56 and means of complying therewith according to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached Form PTO-1449 are called to the attention of the United States Patent and Trademark Office in connection with the above-identified patent application.

Because the requirement (37 C.F.R. §1.98(a)(2)(i)) for submitting copies of U.S. patents and

published applications has been waived, copies of only the foreign cited references and/or other documents are enclosed herewith.


No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider all of the cited documents and to make an independent evaluation of the teachings and materiality of each.

If any additional fees are necessary to complete this communication, please apply them to Deposit Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

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SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. EIE-064DV		SERIAL NO. 10/797,552	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANT Aloise et al.			
				FILING DATE March 10, 2004		GROUP Unknown	

MAY 27 2004
 PATENT & TRADEMARK OFFICE

(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	3	1	7	4	8	5	1	3/23/1965	Buehler et al.	75	170	12/1/1961
	A.B	3	3	5	1	4	6	3	11/7/1967	Rozner et al.	75	170	8/20/1965
	A.C	4	8	8	8	8	6	3	12/26/1989	Cox et al.	29	156.8 B	3/2/1988
	A.D	5	0	4	4	9	4	7	9/3/1991	Sachdeva et al.	433	20	6/29/1990
	A.E	5	4	2	9	5	0	1	7/4/1995	Farzin-Nia et al.	433	21	3/28/1994
	A.F	5	6	5	5	9	5	0	8/12/1997	Heath et al.	451	48	5/7/1996
	A.G	5	7	6	2	5	4	1	6/9/1998	Heath et al.	451	48	7/9/1997
	A.H	5	8	5	7	8	5	2	1/12/1999	Garman	433	102	11/19/1997
	A.I	5	8	8	2	1	9	8	3/16/1999	Taylor et al.	433	102	6/30/1997
	A.J	5	9	4	1	7	6	0	8/24/1999	Heath et al.	451	48	7/21/1998
	A.K	5	9	6	4	7	7	0	10/12/1999	Flomenblit et al.	606	78	9/30/1997

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L	EP 0 287 267	19 October 1988	EP	D2D1	5/16	
	A.M	WO 99 37235	29 July 1999	WO	A61C		
	A.N						
	A.O						
	A.P						
	A.Q						

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)		
	A.R	Hodgson et al., <u>Shape Memory Alloys</u> , ASM Handbook, Vol. 2, Properties and Selection: Nonferrous Alloys and Special-Purpose Materials, pps. 897-902, 1992
	A.S	Tobushi et al., <u>Recovery Stress Due to R-Phase Transformation in Ni-Ti Shape Memory Alloy</u> , Proceedings of First Int'l Conf. on Shape Memory and Superelastic Technologies, Asilomar Conference Ctr, Pacific Grove, CA, USA, pgs. 163-168, 1994
	A.T	McSpadden et al., <u>Endodontic Instrument</u> , US 2002/0137008 A1, Publication Date 09/26/2002, Filed 12/17/2001, U.S. Class 433/102

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to Applicant.

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. EIE-064DV		SERIAL NO. 10/797,552							
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U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	B.A	5	9	8	4	6	7	9	11/16/1999	Farzin-Nia et al.	433	102	9/26/1997
	B.B	6	0	3	6	7	0	8	3/14/2000	Sciver	606	159	8/13/1998
	B.C	6	1	3	1	5	7	9	10/17/2000	Thorson et al.	128	898	4/21/1998
	B.D	6	1	4	9	5	0	1	11/21/2000	Farzin-Nia et al.	451	48	1/27/1998
	B.E	6	1	5	8	3	0	4	12/12/2000	Packer et al.	76	104	5/21/1997
	B.F	6	1	6	5	2	1	0	12/26/2000	Lau et al.	623	1.12	4/1/1994
	B.G	6	2	9	3	0	2	0	9/25/2001	Julien	30	350	2/14/1997
	B.H	6	2	9	9	4	4	5	10/9/2001	Garman	433	102	4/8/1999
	B.I	6	3	1	5	5	5	8	11/13/2001	Farzin-Nia et al.	433	102	10/26/1999
	B.J	6	4	2	8	6	3	4	08/06/2002	Besselink et al.	148	421	4/24/1997
	B.K												
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	B.M												
	B.N												
	B.O												
	B.P												
	B.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
	B.R	Aloise et al., <u>Method of Manufacturing an Endodontic Instrument</u> , US 2003/0199236 A1, Publication Date 10/23/2003, Filed 04/18/2002; U.S. Class 451/48.											
	B.S												
	B.T												
EXAMINER										DATE CONSIDERED			
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